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REGISTERED PATENT AGENT

BY EXPRESS MAIL ON December 19, 2000 (EH 332 180 770 US)



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BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

## RECORD OF TRANSMITTAL

**INVENTORS:** 

- (1) Niwa TAKASHI
- (2) Kenji KATO
- (3) Nobuyuki KASAMA
- (4) Manabu OUMI
- (5) Yasuyuki MITSUOKA
- (6) Susumu ICHIHARA

TITLE:

NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD FOR SAME, AND NEAR-FIELD OPTICAL APPARATUS USING THE

NEAR-FIELD PROBE

DOCKET NO:

S004-4168

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

## APPLICABLE ITEMS CHECKED:

| <u> </u> | PATENT APPLICATION | (pages 1-43 of specification, 32                           |
|----------|--------------------|--|
|          |                    | claims (pages) 38-43, and abstract of the                  |
|          | DESTAN ADDITION    | disclosure (pg.44)) (specification, claim, declaration and |
|          | DESIGN APPLICATION | power of attorney and photograph(s))                       |
| XX       | FORMAL DRAWINGS    | ( <u>17</u> sheets) (NI A4)                                |
|          | INFORMAL DRAWINGS  | ( sheets)  |
|          |                    | OVER SHEET and ASSIGNMENT  I returned to the undersigned)  |

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XX PRIORITY AIM - applicant(s) claim to benefit of priority of the following application(s):

Japanese Patent Appln. No. 11-361701 filed December 20, 1999 Japanese Patent Appln. No. 2000-130826 filed April 28, 2000 Japanese Patent Appln. No. 2000-352778 filed November 20, 2000

| XX PRIORITY DOCUMENT(s)                   |           |                 |                 |
|---|-----------|-----------------|-----------------|
| Enclosed                                  | XX Not    | Enclosed        |                 |
| VERIFIED STATEMENT TO                     | ESTABLISH | SMALL ENTI      | TY STATUS       |
| XX EXPRESS MAIL CERTIFICA                 | TION      |                 |                 |
| OTHER -                                   |           |                 |                 |
| XX FEE                                    |           |                 |                 |
| A check is enclosed for                   | the follo | wing offic      | ial fees:       |
|   |           | Large<br>Entity | Small<br>Entity |
| XX Basic Filing Fee                       |           | \$ 710.00       | \$              |
| 0 Independent Claims<br>in Excess of 3    |           | \$              | \$              |
| <u>12</u> Total Claims in<br>Excess of 20 |           | \$ 216.00       | \$              |
| Multiple Claim Fee                        |           | \$              | \$              |
| Assignment Recordal                       | Fee       | \$              | \$              |
|   | TOTAL     | \$ 926.00       | \$              |

Respectfully submitted,

ADAMS & WILKS

Atterneys for Applicants

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Bruce L. Adams Reg. No. 25,386

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Re: New patent application of Niwa TAKASHI et al.

for NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD FOR SAME, AND NEAR-FIELD OPTICAL APPARATUS USING THE NEAR-FIELD PROBE

comprising transmittal letter, title, specification (pgs.1-43), 32 claims (pgs.38-43), abstract of the disclosure (pg.44), 17 sheets of formal drawings containing thereon Figs. 1-26D, express mail certification and check in the amount \$926.00

Attorney's Docket No: S004-4168

## EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EH 332 180 770 US

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box PATENT APPLICATION, ASSISTANT COMMISSIONER OF PATENTS, Washington, DC 20231.

Michael Ruas